



Sector:  
PATENT #3

Case Docket No. SOHSH10.001C1

Date: May 7, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Mizutani, et al.  
App. No. : 09/750,614  
Filed : December 28, 2000  
For : COMMUNICATION  
SYSTEM AND METHOD

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

May 7, 2001

(Date)

  
Thomas R. Arno, Reg. No. 40,490

Group Art Unit : 2184

**TRANSMITTAL LETTER**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

**ATTENTION: BOX MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application under 37 CFR 1.53(f), which was mailed by the Office on March 5, 2001, enclosed are:

- (X) A Declaration and Power of Attorney.
- (X) A Power of Attorney by Assignee.
- (X) Copy of Assignment.
- (X) A substitute specification and substitute drawings.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.

PATENT

Case Docket No. SOHSH10.001C1

Date: May \_\_, 2001

(X) Fees as calculated below:

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SURCHARGE 37 CFR 1.16(e)	\$ 130
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TOTAL OF ABOVE CALCULATIONS	\$ 130
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REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.

Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ 0


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TOTAL FEES SUBMITTED HERewith	\$ 130
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(X) A check in the amount of \$130 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Thomas R. Arno  
Registration No. 40,490  
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,614	12/28/2000	Tomoaki Mizutani	SOHSH10.001C1

CONFIRMATION NO. 5346

20995

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SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000005825282\*

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical

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character recognition. Pages 1-54 are not in compliance with 37 CFR 1.52(a).

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE